



# DAULAT SECURITIES LIMITED

Member : National Stock Exchange of India Ltd. (NSE)  
The Calcutta Stock Exchange Association Ltd.(CSE)

Depository Participant : National Securities Depositories Ltd. (NSDL)

CIN No. : L67120WB1992PLC056831

Date : 30-05-2019

To,

The Deputy General Manager (Listing)

Dept. of Corporate Services

BSE Ltd

Floor -25 P.J.Tower

Dalal Street

Mumbai - 400 001

Dear Sir/ Madam ,

**Company Code – 530171**

**Sub: Compliance under Regulation 24A of SEBI (Listing  
Obligations And Disclosure Requirements) Regulations, 2015**

In terms of Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed copy of Annual Secretarial Compliance Report dated 30<sup>th</sup> May, 2019, issued by Rakesh Agrawal & Co., Secretarial Auditor of the Company for the Financial Year ended 31<sup>st</sup> March, 2019.

Kindly take the same on record.

Thanking you.

Yours faithfully,

For Daulat Securities Ltd

*Y. Sharma*

Compliance Officer

(Yogita Sharma)

ACS 56093

CC TO, The Listing Department

The Calcutta Stock Exchange Ltd.

Kolkata

**SECRETARIAL COMPLIANCE REPORT  
OF DAULAT SECURITIES LIMITED  
(CIN : L67120WB1992PLC056831)  
for the year ended 31<sup>ST</sup> MARCH 2019**

I, have examined:

- (a) all the documents and records made available to us and explanation provided by **DAULAT SECURITIES LIMITED** (the listed entity) having registered office at **86, Canning Street, 3rd Floor Kolkata - 700 001**.
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended "31<sup>ST</sup> MARCH 2019" in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; \*NA
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; (disclosures have been made wherever necessary)
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; \*NA
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; \*NA
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; \*NA
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; \*NA
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client: -the Company itself is not registered as the Registrar and Share Transfer Agent.

(j) (other regulations as applicable) and circulars/ guidelines issued thereunder; as per the representation made by the Company, its officer and authorized representatives no such other regulations are applicable for which filing is required with SEBI.

(N/A)\* no such transaction undertaken by the Company during the audit period which require compliance under the act.

and based on the above examination and the documents and information provided to me, I hereby report that,



(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder,;-

(b)The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records except as per regulation 47 of the SEBI(LODR), no newspaper publication has been made during the year by the Company as per the requirement of the regulation.

(c)The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practising Company Secretary, if any.
	NA.			

(d)The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practising Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
		NA		

For Rakesh Agrawal & Co.  
Practising Company Secretaries

*Rakesh Agrawal*

(Prop: Rakesh Agrawal)



FCS No. 8792

C P No. 9014

Place : KOLKATA

Date : 29<sup>th</sup> May, 2019