

Member : The National Stock Exchange Ltd. (NSE) The Calcutta Stock Exchange Ltd. (CSE)
Depository Participant : National Securities Depository Ltd. (NSDL) | CIN : L67120WB1992PLC056831

RISK MANAGEMENT SYSTEM OF DSL

1. CAPITAL MARKET SEGMENT.

- i) Limits / Exposure to be given to on the basis of Risk perception of the client.
- ii) Basic limit of Rs. 1 lac may be given to small clients.
- iii) For any limit/ exposure in excess of Rs.1 lac a request from client is required.
- iv) For any limit/ exposure above Rs.5 lac a confirmation from Compliance Officer is required.
- v) For any limit/exposure above 10 lacs a confirmation from Director is required.
- vi) No further limit/ exposure to be given to clients if previous debit is cleared or sufficient margin
Given by the client to cover the risk.
- vii) Buy blocking for the client having continuously debit more than three months.
- viii) No pay out of Shares are given to client unless they clear their debit balances.
- ix) Payout of funds given to client on the basis of request and depending upon their margin situation.
- x) All limits setting rights lie at Head office only.
Cheques for pay-in collected on T-1 day basis from clients.
- xi) Debit balances of clients to be monitored daily basis.
- xiii) For continuous debit balance clients position is square off after giving due notice to the client.

2. Derivatives Segment

- i) Client codes are activated only after receipt of sufficient initial margin from clients.
- ii) Limits/Exposure allowed which can be properly covered by the margin provided by client.
- iii) MTM Loss recovered on T+1 basis from client. In case of few client weekly settlement is done.